

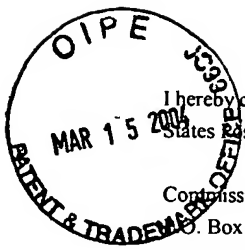


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|--|---|------------------------|---------------------|
| <b>TRANSMITTAL FORM</b><br><i>(to be used for all correspondence after initial filing)</i> |   | Application Number     | 10/661,106          |
|  |   | Filing Date            | September 12, 2003  |
|  |   | First Named Inventor   | Beaufays, Francoise |
|  |   | Art Unit               | 2641                |
|  |   | Examiner Name          | To Be Assigned      |
| Total Number of Pages in This Submission   | 5 | Attorney Docket Number | 017101-001000US     |

| ENCLOSURES (Check all that apply)  |  |  |
|--|--|--|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><br><input type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input checked="" type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><br><input type="checkbox"/> Status Letter<br><br><input checked="" type="checkbox"/> Other Enclosure(s)<br><i>(please identify below):</i><br><br>Return Postcard,<br>PTO/SB/08B,<br>2 references |
| Remarks  |  | The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.   |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |  |
|--|--|
| Firm or Individual                         | Townsend and Townsend and Crew LLP<br>Rodney C. LeRoy<br>Reg. No. 53,205 |
| Signature                                  |  |
| Date                                       | March 12, 2004   |

| CERTIFICATE OF TRANSMISSION/MAILING   |               |      |                |
|---|---------------|------|----------------|
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. |               |      |                |
| Typed or printed name   | James E. Yang |      |                |
| Signature   |               | Date | March 12, 2004 |



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

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P.O. Box 1450  
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PATENT  
Attorney Docket No.: 017101-001000US

On 3/12/04

TOWNSEND and TOWNSEND and CREW LLP

By: [Signature]  
James E. Yang

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Francoise Beaufays et al.

Application No.: 10/661,106

Filed: September 12, 2003

For: METHOD AND SYSTEM FOR  
LEARNING LINGUISTICALLY VALID  
WORD PRONUNCIATIONS FROM  
ACOUSTIC DATA

Examiner: To Be Assigned

Art Unit: 2641

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement.

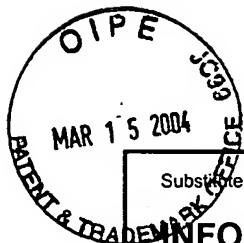
However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Rodney C. LeRoy  
Reg. No. 53,205

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60156453 v1



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|---|---|--------------------------|---------------------|------------------------|-----------------|
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(use as many sheets as necessary) |   | <b>Complete if Known</b> |                     |                        |                 |
|   |   | Application Number       | 10/661,106          |                        |                 |
|   |   | Filing Date              | September 12, 2003  |                        |                 |
|   |   | First Named Inventor     | Beaufays, Francoise |                        |                 |
|   |   | Art Unit                 | 2641                |                        |                 |
|   |   | Examiner Name            | To Be Assigned      |                        |                 |
| Sheet   | 1 | of                       | 1                   | Attorney Docket Number | 017101-001000US |

| NON PATENT LITERATURE DOCUMENTS |                          |   |                |
|---------------------------------|--------------------------|---|----------------|
| Examiner<br>Initials *          | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item<br>(book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s),<br>publisher, city and/or country where published. | T <sup>2</sup> |
|                                 | 0001                     | Beaufays, F. et al. (2003). "Learning Linguistically Valid Pronunciation From Acoustic Data," Nuance<br>Communications, Eurospeech 2003- Geneva, 2593-2596.   |                |
|                                 | 0002                     | Beaufays, F. et al. (2003). "Learning Name Pronunciations in Automatic Speech Recognition Systems," Nuance<br>Communications, 15th International Conference on Tools with Artificial Intelligence, 233-240.   |                |
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|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.